

OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	0001-00	Appln. No.	09/602,191
Applicant	Graham Edmund KELLY		
Filing Date	June 22, 2000	Group:	1623

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	<del>USSN 09/254,026 (WO 98/08503)</del>		Kelly et al.			<del>08/29/1997</del>
PL	USSN 09/421,069		Kelly			10/19/1999
	<del>USSN 09/647,092 (WO 99/48496)</del>		Kelly et al.			<del>03/26/1999</del>
	<del>USSN 09/914,035 (WO 00/64438)</del>		Kelly et al.			<del>04/27/2000</del>
PL	USSN 09/986,509		Kelly			11/09/2001
	<del>USSN 10/070,361 (WO 01/17986)</del>		Heaton et al.			<del>09/06/2000</del>
PL	USSN 10/274,371		Kelly			10/21/2002
	USSN 10/459,537		Kelly et al.			06/12/2003
	USSN 10/600,004		Kelly et al.			06/18/2003
	USSN 10/611,087		Kelly			07/02/2003
PL	USSN 10/611,151		Kelly			07/02/2003
	<del>USSN 10/250,858 (WO 02/055072)</del>		Husband			<del>07/09/2003</del>
PL	6,340,703 B1	01/22/2002	Kelly			
PL	6,497,906 B1	12/24/2002	Kelly			
PL	6,562,380 B1	05/13/2003	Kelly			

Examiner	<i>[Signature]</i>	Date Considered	4-26-05
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce		

CERTIFICATE UNDER 37 CFR § 1.10 OF MAILING BY "EXPRESS MAIL"

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September 19, 2003

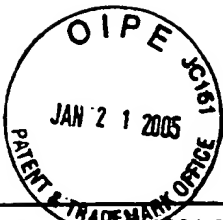
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Date of Deposit

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By:

*[Signature]*  
Sarah M. Barnett



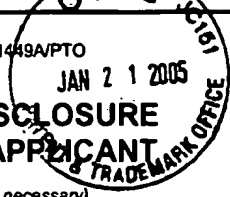
## INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	07679-0001-00000	Appln. No.	09/602,191
Applicant Graham Edmund KELLY			
Filing Date	June 22, 2000	Group:	1623

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
PK	6,004,558	Dec. 21, 1999	THURN et al.			Feb. 25, 1998
PK	6,146,668	Nov. 14, 2000	KELLY et al.			Apr. 27, 1997
PK	6,455,032 B1	Sep. 24, 2002	KELLY et al.			Jun. 23, 2000
	<del>09/546,565 (pending claims)</del>		<del>KELLY et al.</del>			<del>Apr. 11, 2000</del>
	<del>09/889,701 (WO 00/49009; pending claims)</del>		<del>HEATON et al.</del>			<del>Nov. 5, 2001</del>
	<del>10/176,762 (WO 98/08503; pending claims)</del>		<del>KELLY et al.</del>			<del>Jun. 21, 2002</del>
	<del>10/177,387 (pending claims)</del>		<del>KELLY et al.</del>			<del>Jun. 21, 2002</del>
	<del>10/181,549 (WO 01/53285; pending claims)</del>		<del>HUSBAND et al.</del>			<del>Nov. 7, 2002</del>
	<del>10/212,847 (WO 99/36050)</del>		<del>KELLY et al.</del>			<del>Aug. 5, 2002</del>
	<del>10/469,957 (WO 02/070502)</del>		<del>HUSBAND et al.</del>			<del>Sep. 8, 2003</del>
	<del>10/471,668 (WO 02/074307)</del>		<del>HUSBAND et al.</del>			<del>Sep. 15, 2003</del>
	<del>10/636,902 (pending claims)</del>		<del>KELLY et al.</del>			<del>Aug. 6, 2003</del>
	<del>10/704,385 (WO 01/17986)</del>		<del>HEATON et al.</del>			<del>Nov. 7, 2003</del>

Examiner.	<i>[Signature]</i>	Date Considered	3-18-05
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Reissue Application No.		09/602,191	
		Filing Date		June 22, 2000	
		First Named Inventor		KELLY	
		Art Unit		1623	
		Examiner Name		Patrick T. Lewis	
Sheet	1	of	2	Attorney Docket Number 07579-0001-00000	

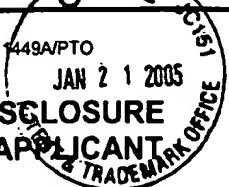
U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date, MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
PL		US-2002-0198248	Dec. 26, 2002	KELLY et al.	
		US-2003-0018060	Jan. 23, 2003	KELLY et al.	
		US-2003-0059384	Mar. 27, 2003	KELLY et al.	
		US-2003-0078214	Apr. 24, 2003	KELLY	
		US-2003-0157225	Aug. 21, 2003	HUSBAND et al.	
		US-2003-0219499	Nov. 27, 2003	KELLY et al.	
		US-2004-0048812	Mar. 11, 2004	KELLY	
		US-2004-0072765	Apr. 15, 2004	KELLY et al.	
		US-2004-0116498	Jun. 17, 2004	HUSBAND	
		US-6,004,558	Dec. 21, 1999	THURN et al.	
		US-6,146,668	Nov. 14, 2000	KELLY et al.	
		US-6,455,032	Sep. 24, 2002	KELLY et al.	
		US-6,599,536	July 29, 2003	KELLY et al.	
		US-6,642,212	Nov. 4, 2003	KELLY	
PL		US-6,649,648	Nov. 18, 2003	KELLY et al.	

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date, MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
PL		WO 00/49009	Aug. 24, 2000	Novogen Research PTY.		
		WO 03/035635	May 1, 2003	Novogen Research PTY.		
		WO 03/086386	Oct. 23, 2003	Novogen Research PTY.		
		WO 97/46208 A2	Dec. 11, 1997	Mt. Sinai School of Medicine of the City of New York		
		JP 1-258669	Oct. 16, 1989	Kikkoman Corp.		Yes
PL		JP 61-246124 A	Nov. 1, 1986	Yamanouchi Pharmaceutical Co. Ltd		Yes

Examiner Signature		Date Considered	3-18-05
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IDS Form PTO/SB/08: Substitute for form 449A/PTO				<b>Complete if Known</b>		
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		Filing Date		June 22, 2000		
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		Art Unit		1623		
		Examiner Name		Patrick T. Lewis		
Sheet	2	of	2	Attorney Docket Number		07579-0001-00000

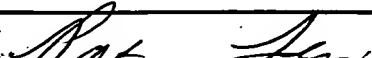
NON PATENT LITERATURE DOCUMENTS			
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		<del>US Patent Application No. 09/986,509 of KELLY filed 11/09/2001 (WO 98/50026, pending claims)</del> <del>Attorney Ref. No. 07579-0006-01000</del>	
		<del>US Patent Application No. 10/611,151 of KELLY filed 07/02/2003 (WO 03/23069)</del> <del>Attorney Ref. No. 07579-0005-05000</del>	
		<del>US Patent Application No. 09/546,565 of KELLY et al. filed 04/11/2000 (pending claims)</del> <del>Attorney Ref. No. 07579-0003-01000</del>	
		<del>US Patent Application No. 10/469,957 of HUSBAND et al. filed 09/08/2003 (WO 02/070502)</del> <del>Attorney Ref. No. 07579-0019-00000</del>	
		<del>US Patent Application No. 10/471,668 of HUSBAND et al. filed 09/15/2003 (WO 02/074307)</del> <del>Attorney Ref. No. 07579-0020-00000</del>	
		<del>US Patent Application No. 10/636,902 of KELLY et al. filed 08/06/2003 (pending claims)</del> <del>Attorney Ref. No. 07579-0007-03000</del>	
		<del>US Patent Application No. 10/704,385 of HEATON et al. filed 11/07/2003 (WO 01/17986)</del> <del>Attorney Ref. No. 07579-0016-01000</del>	
		<del>US Patent Application No. 10/947,366 of KELLY et al. filed 09/21/2004 (pending claims)</del> <del>Attorney Ref. No. 07579-0012-02000</del>	
PL		BEZUIDENHOUDT et al., "Synthesis of Isoflavanoid Oligomers Using a Pterocarpan as Inceptive Electrophile," <i>J. Chem. Soc. Perkin Transactions I</i> , pp. 2767-2778 (1984).	
		BINGHAM et al., "Phyto-oestrogens: where are we now?," <i>British Journal of Nutrition</i> , Vol. 79, pp. 393-406 (1998).	
		PETERSON et al. "Genistein Inhibition of the Growth of Human Breast Cancer Cells: Independence from Estrogen Receptors and the Multi-Drug Resistance Gene," <i>Biochemical and Biophysical Research Communications</i> , Vol. 179, No. 1, pp. 661-667 (August 30, 1991)	
PL		WEI et al. "Antioxidant and Antipromotional Effects of the Soybean Isoflavone Genistein," <i>Proceedings of the Society for Experimental Biology and Medicine</i> , Vol. 208, No. 1, pp. 124-130 (October 1993).	

Examiner Signature		Date Considered	3-18-05
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			Reissue Application No.	09/602,191	
			Filing Date	June 22, 2000	
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			Art Unit	1623	
			Examiner Name	Patrick T. Lewis	
			Attorney Docket Number	07579-0001-00000	
Sheet	1	of	2		

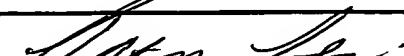
NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		Translation <sup>6</sup>
		<del>Restriction Requirement issued in U.S. Patent Application No. 08/338,567 on April 30, 1996 (Attorney Docket No. 07579-0002-00000)</del>		
		<del>Traversal and Request for Reconsideration filed in U.S. Patent Application No. 08/338,567 on May 31, 1996 (Attorney Docket No. 07579-0002-00000)</del>		
		<del>Office Action issued in U.S. Patent Application No. 08/338,567 on September 10, 1996 (Attorney Docket No. 07579-0002-00000)</del>		
		<del>Amendment filed in U.S. Patent Application No. 08/338,567 on February 28, 1997 (Attorney Docket No. 07579-0002-00000)</del>		
		<del>Second Supplemental Response filed in U.S. Patent Application No. 08/338,567 on March 12, 1997 (Attorney Docket No. 07579-0002-00000)</del>		
		<del>Preliminary Amendment filed in U.S. Patent Application No. 08/910,837 on August 13, 1997 (Attorney Docket No. 07579-0003-00000)</del>		
		<del>Office Action issued in U.S. Patent Application No. 08/910,837 on April 2, 1998 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Amendment filed in U.S. Patent Application No. 08/910,837 on May 4, 1998 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Request for Interference filed U.S. Patent Application No. 08/910,837 on June 4, 1998 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Amendment and Supplemental Request for an Interference filed in U.S. Patent Application No. 08/910,837 on May 16, 1999 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Supplemental Amendment and Supplemental IDS filed in U.S. Patent Application No. 08/910,837 on September 24, 1999 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Preliminary Motion filed in Interference No. 104,576 on December 19, 2000 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Proposed Amendment filed in Interference No. 104,576 on December 19, 2000 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Transcript of oral argument heard in Interference No. 104,576 on June 8, 2001 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Proposed Amendment filed in U.S. Patent Application No. 08/910,837 on June 8, 2001 (Attorney Docket No. 07579-0003-00000)</del>		
		<del>Order Redeciding Interference issued in U.S. Patent Application No. 08/910,837 on June 29, 2001 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Amendment filed in U.S. Patent Application No. 08/910,837 on April 4, 2002 (Attorney Docket No. 07579-0005-00000)</del>		
		<del>Preliminary Amendment in U.S. Patent Application No. 09/547,100 on April 11, 2000 (Attorney Docket No. 07579-0005-01000)</del>		
		<del>Office Action issued in U.S. Patent Application No. 09/547,100 on September 13, 2000 (Attorney Docket No. 07579-0005-01000)</del>		
		<del>Amendment filed in U.S. Patent Application No. 09/547,100 on February 27, 2001 (Attorney Docket No. 07579-0005-01000)</del>		
		<del>Office Action issued in U.S. Patent Application No. 09/547,100 on May 22, 2001 (Attorney Docket No. 07579-0005-01000)</del>		
		<del>Response filed in U.S. Patent Application No. 09/547,100 on November 20, 2001 (Attorney Docket No. 07579-0005-01000)</del>		

Examiner Signature		Date Considered	3-18-05
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			First Named Inventor	KELLY	
			Art Unit	1623	
			Examiner Name	Patrick T. Lewis	
			Attorney Docket Number	07579-0001-00000	
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS		
	<del>Office Action issued in U.S. Patent Application No. 09/547,100 on January 30, 2002 (Attorney Docket No. 07579-0005-01000)</del>	
	<del>Amendment After Final filed in U.S. Patent Application No. 09/547,100 on June 28, 2002 (Attorney Docket No. 07579-0005-01000)</del>	
	<del>Preliminary Amendment filed in U.S. Patent Application No. 09/421,069 on October 19, 1999 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Office Action issued in U.S. Patent Application No. 09/421,069 on April 17, 2000 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Response and Amendment filed in U.S. Patent Application No. 09/421,069 on October 13, 2000 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Office Action issued in U.S. Patent Application No. 09/421,069 on January 16, 2001 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Response filed in U.S. Patent Application No. 09/421,069 on May 15, 2004 (Attorney Docket No. 07579-0006-02000)</del>	
	<del>Office Action issued in U.S. Patent Application No. 09/421,069 on July 31, 2001 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Amendment filed in U.S. Patent Application No. 09/421,069 on August 22, 2001 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Office Action issued in U.S. Patent Application No. 09/421,069 on November 06, 2001 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Amendment filed in U.S. Patent Application No. 09/421,069 on February 6, 2002 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Office Action issued in U.S. Patent Application No. 09/421,069 on October 22, 2002 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Amendment filed in U.S. Patent Application No. 09/421,069 on January 22, 2003 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Supplemental Amendment filed in U.S. Patent Application No. 09/421,069 on July 1, 2003 (Attorney Docket No. 07579-0005-02000)</del>	
	<del>Preliminary Amendment filed in U.S. Patent Application No. 10/274,371 on October 18, 2002 (Attorney Docket No. 07579-0005-03000)</del>	
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	<del>Office Action issued in U.S. Patent Application No. 10/611,087 on September 24, 2004 (Attorney Docket No. 07579-0005-04000)</del>	
	<del>Preliminary Amendment filed in U.S. Patent Application No. 10/611,151 on July 02, 2003 (Attorney Docket No. 07579-0005-05000)</del>	
	<del>Office Action issued in U.S. Patent Application No. 10/611,151 on July 14, 2004 (Attorney Docket No. 07579-0005-05000)</del>	
	<del>Amendment and Response filed in U.S. Patent Application No. 10/611,151 on December 14, 2004 (Attorney Docket No. 07579-0005-05000)</del>	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 4

1

of

2

## Complete if Known

Reissue Application No.	09/602,191
Filing Date	June 22, 2000
First Named Inventor	KELLY
Art Unit	1623
Examiner Name	Patrick T. Lewis
Attorney Docket Number	07579-0001-00000

FEB 28 2005

## NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>8</sup>
		<del>Office Action issued in U.S. Patent No. 6,146,668 on September 9, 1998 (Attorney Docket No. 07579-0003-00000)</del>	
		<del>Amendment and Response filed in U.S. Patent No. 6,146,668 on March 8, 1999 (Attorney Docket No. 07579-0003-00000)</del>	
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## CERTIFICATE UNDER 37 CFR § 1.10 OF MAILING BY "EXPRESS MAIL"

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By:

Sarah M. Barnett

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

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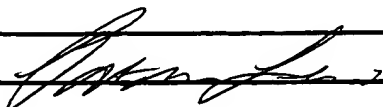
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Examiner Name	Patrick T. Lewis
Attorney Docket Number	07579-0001-00000

**NON PATENT LITERATURE DOCUMENTS**

Amendment filed in U.S. Patent No. 6,340,703 on May 31, 2001 (Attorney Docket No. 07579-0006-00000)	
Office Action issued in U.S. Patent Application No. 09/986,509 on July 23, 2002 (Attorney Docket No. 07579-0006-01000)	
Amendment filed in U.S. Patent Application No. 09/986,509 on December 23, 2002 (Attorney Docket No. 07579-0006-01000)	
Restriction Requirement issued in U.S. Patent Application No. 09/986,509 on April 18, 2003 (Attorney Docket No. 07579-0006-01000)	
Response to Restriction Requirement filed in U.S. Patent Application No. 09/986,509 on October 8, 2003 (Attorney Docket No. 07579-0006-01000)	
Office Action issued in U.S. Patent Application No. 09/986,509 on February 6, 2004 (Attorney Docket No. 07579-0006-01000)	
Amendment and Response filed in U.S. Patent Application No. 09/986,509 on August 5, 2004 (Attorney Docket No. 07579-0006-01000)	
Office Action issued in U.S. Patent Application No. 09/647,092 on October 23, 2001 (Attorney Docket No. 07579-0013-00000)	
Amendment filed in U.S. Patent Application No. 09/647,092 on March 25, 2002 (Attorney Docket No. 07579-0013-00000)	
Office Action issued in U.S. Patent Application No. 09/647,092 on June 18, 2002 (Attorney Docket No. 07579-0013-00000)	
Amendment After Final filed in U.S. Patent Application No. 09/647,092 on December 17, 2002 (Attorney Docket No. 07579-0013-00000)	
Restriction Requirement issued in U.S. Patent Application No. 09/647,092 on February 10, 2003 (Attorney Docket No. 07579-0013-00000)	
Amendment and Response to Restriction Requirement filed in U.S. Patent Application No. 09/647,092 on March 11, 2003 (Attorney Docket No. 07579-0013-00000)	
Notice of Allowance with Statement of Reasons issued in U.S. Application No. 09/647,092 on April 6, 2003 (Attorney Docket No. 07579-0013-00000)	
Preliminary Amendment filed in U.S. Patent Application No. 10/459,537 on June 12, 2003 (Attorney Docket No. 07579-0013-01000)	
Office Action issued in U.S. Patent Application No. 09/914,635 on December 18, 2002 (Attorney Docket No. 07579-0015-00000)	
Preliminary Amendment filed in U.S. Patent Application No. 10/600,004 on June 18, 2003 (Attorney Docket No. 07579-0045-01000)	
Office Action issued in U.S. Patent Application No. 10/600,004 on June 17, 2004 (Attorney Docket No. 07579-0015-01000)	
Amendment filed in U.S. Patent Application No. 10/600,004 on December 13, 2004 (Attorney Docket No. 07579-0015-01000)	

Examiner  
Signature

Date  
Considered

3-18-05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.